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## CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

Date of Mailing: January <sup>28</sup>~~27~~, 2005

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop IDS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the above identified date.

Lilis Pramasurja

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January 27, 2005

ATTY DOCKET NO.: 1629.122

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

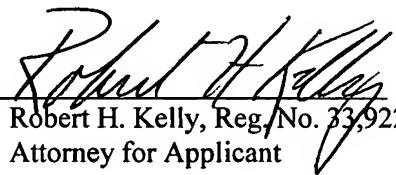
In re: U.S. Application Serial No. 10/804,572  
Inventor: Russell A. Warnecke, et.al.  
Title: **Color Changing Apparatus, And Associated Method, for a  
Light Assembly**

Enclosed please find:

- ☒ Supplemental Information Disclosure Statement;
- ☒ Form PTO/SB/08A;
- ☒ Return post card.

Respectfully submitted,

By:

  
Robert H. Kelly, Reg. No. 33,922  
Attorney for Applicant

DFW

ATTY DOCKET NO.

PATENT

Customer ID No. 30973



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Application of:

RUSSELL A WARNECKE, ET.AL

Serial No.

10/804,572

Filing Date:

March 19, 2004

Group Art Unit:

2875

Examiner:

Not yet assigned

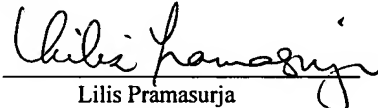
Title:

COLOR CHANGING APPRATUS, AND ASSOCIATED  
METHOD, FOR A LIGHT ASSEMBLY

**CERTIFICATE OF MAILING UNDER 37 CFR § 1.8**

Date of Mailing: January <sup>23</sup>~~27~~, 2005

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Lilis Pramasurja

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§1.97 and 1.98, and §609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO-1449.

PATENT DOCUMENTS:

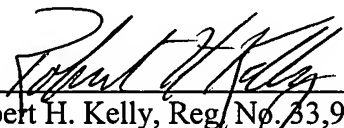
<u>Pat/App. No.</u>	<u>Date</u>	<u>Applicant</u>
4,459,014	07/10/1984	Claude Thebault
4,894,760	01/16/1990	Michael Callahan
4,914,556	04/03/1990	Brian E. Richardson
4,958,265	09/18/1990	Dennis Solomon
5,188,452	02/23/1993	John T. Ryan
5,515,254	05/07/1996	Caroll W. Smith, et.al.
5,969,868	10/19/1999	James M Bornhorst, et.al.
6,142,652	11/07/2000	Brian E. Richardson
6,502,961 B1	01/07/2003	Brian E. Richardson
6,578,987 B1	06/17/2003	Thomas A. Hough, et.al.
6,687,063 B1	02/03/2004	Niels J. Rasmissen, et.al.
6,796,682 B2	09/28/2004	Thomas A. Hough, et.al.
6,796,683 B2	09/28/2004	Michael W. Wood, et.al.

Applicant hereby expressly reserves the right to swear behind the effective date of the references and to question the relevance and materiality of the references cited, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

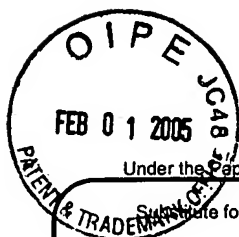
Respectfully submitted,

Date: \_\_\_\_\_

27 Jan 05

  
\_\_\_\_\_  
Robert H. Kelly, Reg. No. 33,922  
Attorney for Applicant

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	10/804,572
Filing Date	March 19, 2004
First Named Inventor	Russell A. Warnecke
Art Unit	2875
Examiner Name	Not yet assigned
Attorney Docket Number	1629.122

Sheet 1 of 1

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 4,459,014	07/10/1984	Claude Thebault	
		US- 4,894,760	01/16/1990	Michael Callahan	
		US- 4,914,556	04/03/1990	Brian E. Richardson	
		US- 4,958,265	09/18/1990	Dennis Solomon	
		US- 5,188,452	02/23/1993	John T. Ryan	
		US- 5,515,254	05/07/1996	C.W. Smith, et.al.	
		US- 5,969,868	10/19/1999	J.M. Bornhorst, et.al.	
		US- 6,142,652	11/07/2000	Brian E. Richardson	
		US- 6,502,961 B1	01/07/2003	Brian E. Richardson	
		US- 6,578,987 B1	06/17/2003	T. A. Hough, et.al.	
		US- 6,687,063 B1	02/03/2004	Niels J. Rasmussen, et.al.	
		US- 6,796,682 B2	09/28/2004	T. A. Hough, et.al.	
		US- 6,796,683 B2	09/28/2004	M. W. Wood, et.al.	
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.